

The Pawtucket Housing Authority
FY 2023 Annual Plan



Annual PHA Plan

- HUD-50075-HP
 - Supplemental Information
 - Resident Advisory Board Meeting
 - HUD-50077-SL
 - HUD-50077-ST-HCV-HP
 - HUD-50077-CR
 - Statement of Significant Amendment
-

Streamlined Annual PHA Plan <i>(High Performer PHAs)</i>	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 03/31/2024
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Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. The Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

- (1) **High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.
- (2) **Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) **Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) **Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) **Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) **Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled.

A.	PHA Information.																										
A.1	<p> PHA Name: The Housing Authority of the City of Pawtucket, Rhode Island _____ PHA Code: R1002 PHA Type: <input checked="" type="checkbox"/> High Performer PHA Plan for Fiscal Year Beginning: (MM/YYYY): 04/01/2023 PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units 784 Number of Housing Choice Vouchers (HCVs) 800 699 regular vouchers (include 5 VASH), 101 mainstream vouchers Total Combined 1,584 PHA Plan Submission Type: <input checked="" type="checkbox"/> Annual Submission <input type="checkbox"/> Revised Annual Submission </p> <p> Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans. </p> <p> <input type="checkbox"/> PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below) </p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Participating PHAs</th> <th rowspan="2" style="width: 10%;">PHA Code</th> <th rowspan="2" style="width: 25%;">Program(s) in the Consortia</th> <th rowspan="2" style="width: 15%;">Program(s) not in the Consortia</th> <th colspan="2" style="width: 25%;">No. of Units in Each Program</th> </tr> <tr> <th style="width: 10%;">PH</th> <th style="width: 10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> </td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> </td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program		PH	HCV	Lead PHA:																	
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B.	Plan Elements
B.1	<p>Revision of Existing PHA Plan Elements.</p> <p>(a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission?</p> <p>Y N</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Statement of Housing Needs and Strategy for Addressing Housing Needs.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Financial Resources.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Rent Determination.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Homeownership Programs.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Safety and Crime Prevention.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Pet Policy.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Substantial Deviation.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Significant Amendment/Modification</p> <p>(b) If the PHA answered yes for any element, describe the revisions for each element below:</p> <p>(c) The PHA must submit its Deconcentration Policy for Field Office Review.</p>
B.2	<p>New Activities.</p> <p>(a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?</p> <p>Y N</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Hope VI or Choice Neighborhoods.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Mixed Finance Modernization or Development.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Demolition and/or Disposition.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Conversion of Public Housing to Tenant Based Assistance.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Conversion of Public Housing to Project-Based Rental Assistance or Project-Based Vouchers under RAD.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Project Based Vouchers.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Units with Approved Vacancies for Modernization.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).</p> <p>(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan.</p>
B.3	<p>Progress Report.</p> <p>Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.</p> <p>✓ See attached summary</p>
B.4.	<p>Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved.</p> <p>See Capital Fund 5-Year Action Plan in EPIC for 2022-2026 Rolling - Approved by HUD on 03/25/2022</p>

<p>B.5</p>	<p>Most Recent Fiscal Year Audit</p> <p>(a) Were there any findings in the most recent FY Audit?</p> <p>Y N <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>(b) If yes, please describe:</p>
<p>C. Other Document and/or Certification Requirements.</p>	
<p>C.1</p>	<p>Resident Advisory Board (RAB) Comments.</p> <p>(a) Did the RAB(s) have comments to the PHA Plan?</p> <p>Y N <input checked="" type="checkbox"/> <input type="checkbox"/> See attached comments</p> <p>(b) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.</p>
<p>C.2</p>	<p>Certification by State or Local Officials.</p> <p><i>Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan.</i></p>
<p>C.3</p>	<p>Civil Rights Certification/Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan.</p> <p><i>Form 50077-ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed must be submitted by the PHA as an electronic attachment to the PHA Plan.</i></p>
<p>C.4</p>	<p>Challenged Elements. If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public.</p> <p>(a) Did the public challenge any elements of the Plan?</p> <p>Y N <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>If yes, include Challenged Elements.</p>
<p>D. Affirmatively Furthering Fair Housing (AFFH).</p>	
<p>D.1</p>	<p>Affirmatively Furthering Fair Housing.</p> <p>Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item.</p>

Fair Housing Goal:

Describe fair housing strategies and actions to achieve the goal

Examine policies and demographic patterns for any actions, omissions, or decisions which have the effect of restricting housing choices, or the availability of housing choices based on race, color, religion, sex, disability, familial status, or national origin.

Provide opportunities for inclusive patterns of housing occupancy regardless of race, color, religion, sex, familial status, disability, and national origin.

Fair Housing Goal:

Describe fair housing strategies and actions to achieve the goal

An assessment of the availability of affordable, accessible housing in a range of unit sizes.

Promote housing that is structurally accessible to, and usable by, all persons, particularly persons with disabilities.

Make public housing a path to social and economic mobility, rather than housing of last resort by targeting selected developments for modernization and for other improvements and facilities to make them attractive to current residents and to suburban residents.

Fair Housing Goal:

Describe fair housing strategies and actions to achieve the goal

ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING CHOICE.

Secure the cooperation of other important officials whose impact upon fair housing is substantial, including jobs, schools, transportation, and social services, important industries in the area who can provide job opportunities, and Government and not-for-profit agencies that provide social services.

Assist in building public support for fair housing efforts both within a State or Entitlement jurisdiction's boundaries and beyond.

Streamlined Annual PHA Plan

FY APRIL 1, 2023, TO MARCH 31, 2024
HUD FORM 50075-HP

A.1 Availability of Information.

PHA Plans and Policies are available to the public at each AMP location and the main office of the PHA.

- Fogarty Manor (Main Office), 214 Roosevelt Avenue, Pawtucket, RI
- Kennedy Manor, 175 Broad Street, Pawtucket, RI
- St Germain Manor, 415 Mineral Spring Avenue, Pawtucket, RI
- Burns Manor, 105 Park Street, Pawtucket, RI
- Galego Court, 439 Weeden Street, Pawtucket, RI

The PHA Annual Plan is also available electronically at the PHA website: www.pawthousing.org

Annual Plan Supplemental Information

FY APRIL 1, 2023, TO MARCH 31, 2024

HUD FORM 50075-HP

B.3 Progress Report on Mission and Goals in PHA 5-Year and Annual Plan

Mission:

For over 80 years, the Housing Authority of the City of Pawtucket has provided safe, clean, and affordable housing for our residents.

We accomplish this through our mission branches:

Maintain and improve our supply of diverse, affordable, and accessible housing.

Increase our communication efforts with residents and the Pawtucket Community as a whole.

Enhance and expand relationships with our community, state, and HUD partners.

Provide a stimulating working environment for employees.

Continue to maintain our financially sound practices.

Progress:

The Housing Authority's 28-unit LIHTC development, to preserve affordable apartments in the City of Pawtucket, currently has seven (7) project-based housing vouchers and twelve (12) housing choice vouchers within this development.

The Housing Authority has formally established a non-profit organization, Pawtucket Housing Inc. to help further housing opportunities and social services throughout Pawtucket and statewide in Rhode Island.

The Housing Authority continues to utilize a Waiting List preference for housing for "chronically homeless veterans and families". During the current FY 2022 twenty (20) formerly homeless families have been housed between our Housing Choice Voucher (9) program and Public Housing Program (11). In the immediate year, the PHA housed a total of 21 formerly homeless in HCV and Public Housing families.

The Housing Authority continues to reach out and educate our Housing Choice Voucher HCV participants for our HCV Homeownership program. We accomplish this through our FSS Program and any HCV participant who asks about homeownership is sent a packet of information.

The Housing Authority, through funding from the City of Pawtucket, provides housing opportunities through the Continuum of Care and Homeless Prevention Rapid Rehousing programs. We accomplished the opportunity for eight (8) individuals and families to be permanently housed through the HCV housing program.

The Housing Authority has been awarded funding from the City of Pawtucket through the Community Development Emergency Shelter Grant (ESG) for Emergency Shelter Operations. We are working with shelter vendor providers to address the growing number of unsheltered individuals within the City.

Annual Plan Supplemental Information

FY APRIL 1, 2023, TO MARCH 31, 2024

HUD FORM 50075-HP

The Housing Authority has been awarded funding from the City of Pawtucket through the Community Development Block Grant (CSBG) for upgrades to the Galego Court Playground improvements which includes basketball court, play yard, and splash park .

The Housing Authority has been awarded funding from the City of Pawtucket through the Community Development Block Grant (CSBG) for our Wellness Resource Center.

We continue to address residents needs through these additional resources will assist with creating an environment that is healthy and inviting of the public housing developments .

Administrative, Public Housing and HCV Program Improvements

The PHA has implemented a Translation Service Line which allows our staff to communicate effectively with (ESL) English as a Second Language residents.

The PHA has established a robust Safety Committee that partners closely with our insurance carriers to improve tenant and worker safety. Additional training for staff regarding worker safety procedures. Don't move staff or residents when falls occur - let residents or staff stand on their own or call 911.

PHA staff were provided De-escalation Training to learn techniques of handling tense public interactions.

Housing staff have attended workshops on implementing and maintaining compliance with Fair Housing Laws.

Our Public Housing Division performed in the top tier of housing authorities across the nation earning it a "High Performer" designation.

Review of the BCV Administrative Plan and processes to address 2023 changes to the Leased Housing Programs.

Website Improvements - To ensure that residents of the community have 24 hours access to forms related to programs and applications for all related programs and services

Operations - Continue Improvements to landscaping and overall conditions of PHA community developments for enjoyment of residents , including holiday decorations as appropriate.

The PHA continues to provide safety workplace training for the maintenance division including blood borne pathogens awareness, winter defensive driving, and other training as it becomes available.

Annual Plan Supplemental Information

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Resident Services

The Resident services Department is working diligently on reestablishing all Tenant Associations at every site.

In conjunction with establishing the Tenant associations, the Resident Services will work to form the Resident Council as a governing agency for the tenant associations.

Integrate Resident Services Department in all new tenant briefings.

Resident Advisory Group - To address the needs of the resident community for the entire PHA.

Increase the monthly resident programming at all developments.

Wellness Center- Garden Therapy for residents of Kennedy Manor to create a meaningful and inviting community landscape

Security Department

Upgrade the tenant entrance call box system at St Germain Manor, Burns Manor, and Park Street.

Adding seven grant funded new security cameras to Fogarty Manor.

Streamlining the key control system for the PHA.

Access control improvements to the Kennedy Manor public restrooms.

Adding additional cameras to Park Street Manor.

Capital Planning

See Capital Budget handout.

B.2 New Activities.

(b) Project Based Vouchers

The Pawtucket Housing Authority currently has one Project-Based Voucher Program Housing Assistance Payments Contract. This contract provides Project Based Voucher Assistance to 7 units that are located at Park Manor Apartments, 40-42 Park Street, Pawtucket, RI 02860.

The units are owned by the Pawtucket Housing Authority. By owning and operating PBV units, Pawtucket Housing Authority is helping to serve the PHA's own population more consistently while keeping the agency in alignment with the City of Pawtucket's Consolidated Plan and residents.

Pawtucket Housing Authority Resident Advisory Board (RAB)

December 12, 2022, 10:00AM

**Kennedy Manor Community Room
175 Broad Street, Pawtucket 02860**

PHA Staff Attendees:

Paula McFarland, Executive Director
Sheila Santos, Director of Public Housing
Marilyn Daponte, Director of Leased Housing
Frank Levesque, Director of Operations
Michael Pavia, Director of Security
Kimberly Gervais, Director of Resident Services
Nicholas Toth, Capital Projects Coordinator

Resident Advisory Board (RAB)

Susan Proulx, Kennedy Manor # G9
Ruth Madsen, HCV
Johanna Lopez, Galego Court # 190-1C

Absent:

Debrease King, HCV
Elsa Field, Fogarty Manor #520
Ida Abujade, Fogarty Manor #230
Cheryl Ann Page, Burns Manor #A210

Paula McFarland began the meeting by introducing staff members, many of whom are familiar with the tenants. The tenants were allowed the opportunity to introduce themselves, and to share a positive note about the Pawtucket Housing Authority.

Sue Prolux began by saying she's been a tenant for 14 years. She stated she has been involved with the Tenant's Association in prior years and would like to see it revived again.

Johanna Lopez said she was glad to attend and is actively involved in many of the social events held at Galego Court. She and her mother run the Clothing Closet at Galego Court and she expressed her interest in being included in any activities that will help the residents.

Ruth Madsen introduced herself as a community activist who works diligently on helping people transitioning off drugs and alcohol to find housing. Ruth is currently on our HCV program.

Sheila Santos explained the purpose of the annual plan: in basic terms, it outlines what a public housing authority has done, is doing, and what will be doing for the next five years to come. The actual document is a government/technical oriented narrative required by HUD For every public housing authority.

Pawtucket Housing Authority Resident Advisory Board (RAB)

Progress Report on Mission and Goals in PHA 5-Year and Annual Plan

Paula McFarland highlighted the Authority's mission to maintain and improve our supply of diverse, affordable, and accessible housing, while increasing our communication efforts with the residents and the Pawtucket community at large. using an established non-profit which the Authority can use to create housing opportunities to apply for federal and state grants, and to provide social services for our population.

Award Funding from the City of Pawtucket through the Community Development Block Grant for a PHA Wellness Center:

We continue to address residents needs through these additional resources will assist with creating an environment that is healthy and inviting of the public housing developments.

All three Advisory Members commented favorably on this program and were pleased to hear it about the details of the program and agreed that this service is needed.

PHA Warming Centers:

Paula McFarland spoke about our partnership with the City and the opening of our community rooms as warming centers for the surrounding neighborhood when temperatures are brutally cold.

One Resident Advisor was concerned that these warming centers could possibly bring trouble into their building from the outside. Paula McFarland responded that the PHA would have security staff coverage and all community rooms are fire alarm sprinkled.

Resident Services

PHA Adding Staff with Social Work Degrees:

All three Advisory Members commented favorably on this program and were pleased to hear it about the details of the program and agreed that this service is needed.

One Resident Advisor expressed that they would like to see the PHA work to get more "wrap around" mental health services for vulnerable tenants; especially those coming off rehab or treatment programs.

The Resident Services Department Reestablishing the Tenants Association at every site:

One Resident Advisor hoped it would work out as it would be nice to bring events back after COVID, but this is a "tough crowd" to work with.

Administrative and Program Improvements

Updating the PHA Web Site and in particular the HCV section:

Pawtucket Housing Authority Resident Advisory Board (RAB)

The PHA is actively working to provide more services through our web portal, and to streamline the process for applicants and tenants. Marilyn Daponte, HCV Director expressed her goal of providing seamless access by HCV recipients by providing most program information on-line.

Capital Fund Program – Five Year Action Plan – Work Statements for 2023, 2024, 2025, 2026, 2027

Nicholas Toth, Capital Projects Coordinator, is new to the position. Long range strategic planning is an essential part of capital improvements and is a quite involved process. Nick spoke about the major goals in the coming year which touched on replacement stoves and refrigerators, upgrading building access systems, paving and sealcoating and the changeover of locks at Galego court to reduce tenant lock outs.

The Resident Advisors commented favorably on the appliances replacements which they agree need to be done. The Resident Advisor from Galego was keen to have the new door locks installed at Galego. She agrees that it is very easy to lock yourself out.

New Activities

Raised Garden Beds:

The Resident Advisors suggested that the PHA implement raised Garden beds at the sites to allow residents access to their home-grown vegetables . The PHA currently offers a community garden at Galego Court and Fogarty Manor, and we certainly will look into expanding to our other sites.

**Certification by State or Local
 Official of PHA Plans Consistency
 with the Consolidated Plan or
 State Consolidated Plan
 (All PHAs)**

U. S Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2024

**Certification by State or Local Official of PHA Plans
 Consistency with the Consolidated Plan or State Consolidated Plan**

I, Donald R. Grebien, the Mayor,

certify that the **Annual PHA Plan for fiscal year 2023** of the Housing Authority of the City of Pawtucket is consistent with the Consolidated Plan or State Consolidated Plan including the Analysis of Impediments (AI) to Fair Housing Choice or Assessment of Fair Housing (AFHI) as applicable to the City of Pawtucket, Rhode Island, pursuant to 24 CFR Part 91 and 24 CFR §§ 903.7(o)(3) and 903.15.

Provide a description of how the PHA Plan's contents are consistent with the Consolidated Plan or State Consolidated Plan.

The PHA Plan is consistent with the City of Pawtucket Consolidated Plan to maintain and upgrade affordable housing, increase the availability of affordable housing, and decrease impediments to affordable housing within the City of Pawtucket, Rhode Island.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Donald R. Grebien
 Name of Authorized Official

Mayor
 Title

Signature



Date

12/14/22

Name of Executive Director:

Paula McFarland

Name Board Chairperson: Elizabeth Roberge

Signature



Date

11/3/23

Signature



Date

1-4-23

The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality. This information is collected to ensure consistency with the consolidated plan or state consolidated plan.

Public reporting burden for this information collection is estimated to average 0.16 hours per year per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Certifications of Compliance with
PHA Plan and Related Regulations
(Standard, Troubled, HCV-Only, and
High Performer PHAs)**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 3/31/2024

**PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations
including PHA Plan Elements that Have Changed**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PIHA) listed below, as its Chairperson or other authorized PIHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PIHA Plan, hereinafter referred to as "the Plan", of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) for the PIHA fiscal year beginning **04/01/2023**, in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PIHA is located (24 CFR § 91.2).
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments (AI) to Fair Housing Choice, or Assessment of Fair Housing (AFH) when applicable, for the PIHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan (24 CFR §§ 91.2, 91.225, 91.325, and 91.425).
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PIHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PIHA;
 - (ii) The changes were duly approved by the PIHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d-4), the Fair Housing Act (42 U.S.C. 3601-19), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), title II of the Americans with Disabilities Act (42 U.S.C. 12101 et seq.), and other applicable civil rights requirements and that it will affirmatively further fair housing in the administration of the program. In addition, if it administers a Housing Choice Voucher Program, the PHA certifies that it will administer the program in conformity with the Fair Housing Act, title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, title II of the Americans with Disabilities Act, and other applicable civil rights requirements, and that it will affirmatively further fair housing in the administration of the program.
7. The PIHA will affirmatively further fair housing, which means that it will take meaningful actions to further the goals identified in the Assessment of Fair Housing (AFH) conducted in accordance with the requirements of 24 CFR § 5.150 through 5.180, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR § 903.7(o)(3). The PHA will fulfill the requirements at 24 CFR § 903.7(o) and 24 CFR § 903.15(d). Until such time as the PIHA is required to submit an AFH, the PHA will fulfill the requirements at 24 CFR § 903.7(o) promulgated prior to August 17, 2015, which means that it examines its programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; and maintains records reflecting these analyses and actions.
8. For PIHA Plans that include a policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2011-65);



- The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing; and
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR 903.7(o)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
 10. In accordance with 24 CFR § 5.105(a)(2), HUD's Equal Access Rule, the PHA will not make a determination of eligibility for housing based on sexual orientation, gender identify, or marital status and will make no inquiries concerning the gender identification or sexual orientation of an applicant for or occupant of HUD-assisted housing.
 11. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
 12. The PHA will comply with the requirements of Section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
 13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
 14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
 15. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
 16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
 17. The PHA will keep records in accordance with 2 CFR 200.333 and facilitate an effective audit to determine compliance with program requirements.
 18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
 19. The PHA will comply with the policies, guidelines, and requirements of 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Financial Assistance, including but not limited to submitting the assurances required under 24 CFR §§ 1.5, 3.115, 8.50, and 107.25 by submitting an SF-424, including the required assurances in SF-424B or D, as applicable.
 20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
 21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
 22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

The Housing Authority of the City of Pawtucket, RI
 PHA Name

RI002
 PHA Number/HA Code

Annual PHA Plan for Fiscal Year 2023
 5-Year PHA Plan for Fiscal Years 20__ - 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).

Name of Executive Director	Name Board Chairman
Paula McFarland	Elizabeth Roberge
Signature  Date 12/15/22	Signature  Date 1-4-23

Civil Rights Certification
(Qualified PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB Approval No. 2577-0226
Expires 3/31/2024

Civil Rights Certification

Annual Certification and Board Resolution


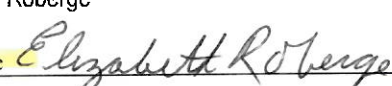
Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairperson or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year PHA Plan, hereinafter referred to as "the Plan", of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) for the fiscal year beginning 04/01/2023 in which the PHA receives assistance under 42 U.S.C. 1437f and/or 1437g in connection with the mission, goals, and objectives of the public housing agency and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d—4), the Fair Housing Act (42 U.S.C. 3601-19), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), title II of the Americans with Disabilities Act (42 U.S.C. 12101 et seq.), and other applicable civil rights requirements and that it will affirmatively further fair housing in the administration of the program. In addition, if it administers a Housing Choice Voucher Program, the PHA certifies that it will administer the program in conformity with the Fair Housing Act, title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, title II of the Americans with Disabilities Act, and other applicable civil rights requirements, and that it will affirmatively further fair housing in the administration of the program. The PHA will affirmatively further fair housing, which means that it will take meaningful actions to further the goals identified in the Assessment of Fair Housing (AFH) conducted in accordance with the requirements of 24 CFR § 5.150 through 5.180, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR § 903.7(o)(3). The PHA will fulfill the requirements at 24 CFR § 903.7(o) and 24 CFR § 903.15(d). Until such time as the PHA is required to submit an AFH, the PHA will fulfill the requirements at 24 CFR § 903.7(o) promulgated prior to August 17, 2015, which means that it examines its programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; and maintains records reflecting these analyses and actions.

The Housing Authority of the City of Pawtucket, RI
PHA Name

RI002
PHA Number/IIA Code

I hereby certify that all the statement above, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Executive Director: Paula McFarland Signature  Date 12/15/22	Name of Board Chairperson: Elizabeth Roberge Signature  Date 1-4-23
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The United States Department of Housing and Urban Development is authorized to collect the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality. The information is collected to ensure that PHAs carry out applicable civil rights requirements.

Public reporting burden for this information collection is estimated to average 0.16 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**STATEMENT OF SIGNIFICANT AMENDMENT TO THE
CAPITAL FUND PROGRAM BUDGETS AND PHA PLAN**

The Pawtucket Housing Authority's definition of a "significant amendment" or "substantial deviation" is a significant change adversely affecting an applicant or resident family to our ACOP policy or Section 8 Administration Plan. For the Capital Fund Program is a 25% change in our Capital Fund Budget or the addition of any non-emergency work item that is not already included in the Pawtucket Housing Authority's latest Capital Fund Program Budget or Five-Year Budget shall be considered a significant amendment change to the PHA Plan.



Paula McFarland

Executive Director
Pawtucket Housing Authority



Date